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## NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

02/09/2005

Law Offices of Patrick J.S. Inoye Suite 258 810 Third Avenue Seattle, WA 98104

# RECEIVED

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EXAMINER

EVANISKO, GEORGE ROBERT

ART UNIT PAPER NUMBER

3762 DATE MAILED: 02/09/2005

## TECHNOLOGY CLINTER R3700

APPLICATION NO.	PLICATION NO. FILING DATE FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
 10/646,105	08/22/2003	Gust H. Bardy	020.0339.US.CON	8423

TITLE OF INVENTION: SYSTEM AND METHOD FOR DIAGNOSING AND MONITORING CONGESTIVE HEART FAILURE FOR AUTOMATED REMOTE PATIENT CARE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300		05/09/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

## HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

maintenance fee notifications.  CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A	certificate of	mailing can only be used for	r domestic mailings of the	
7590 02/09/2005				papers.	Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.			
Law Offices of P Suite 258 810 Third Avenue Seattle, WA 98104				Ce	rtificate of Mailing or Trans his Fee(s) Transmittal is being with sufficient postage for firs il Stop ISSUE FEE address PTO (703) 746-4000, on the d	mission		
Source, Willyord							(Depositor's name)	
			•				(Signature)	
							(Date)	
APPLICATION NO.	FILING DATE		FIRST NAME	D INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/646,105	08/22/2003	L	Gust H	. Bardy	·	020.0339.US.CON	8423	
TITLE OF INVENTION: PATIENT CARE	SYSTEM AND METHOD	FOR DIAGNOS	ING AND	MONITORING	CONGESTIV	E HEART FAILURE FOR	AUTOMATED REMOTE	
APPLN. TYPE	SMALL ENTITY	ISSUE FI	EE	PUBLICAT	ON FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO	\$1400	)	\$30	0	\$1700	05/09/2005	
EXAM	INER	ART UN	IT	CLASS-SUI	BCLASS	]		
EVANISKO, GE	ORGE ROBERT	3762		600-50	3000	_		
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Custome Number is required.			(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a 2					
		elow, no assignee of this form is NOT	data will app F a substitute			nee is identified below, the d	ocument has been filed for	
Please check the appropriate	assignee category or catego	ries (will not be pri	inted on the p	patent): 🖵 Ind	ividual 🗖 C	orporation or other private gro	oup entity Government	
4a. The following fee(s) are	enclosed:	4b	. Payment of	, ,				
Issue Fee	mall antitu diagount normitte	A)	☐ A check in the amount of the fee(s) is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached.					
Publication Fee (No small entity discount permitted)  Advance Order - # of Copies			The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number					
	(from status indicated above	e)	_ ,				<del></del>	
	MALL ENTITY status. See				-	LL ENTITY status. See 37 Cl		
The Director of the USPTO NOTE: The Issue Fee and P interest as shown by the reco	is requested to apply the Issu sublication Fee (if required) vords of the United States Pate	ue Fee and Publicat will not be accepted ent and Trademark	tion Fee (if and I from anyon Office.	ny) or to re-apply e other than the a	any previous oplicant; a reg	ly paid issue fee to the applica sistered attorney or agent; or the	tion identified above. le assignee or other party in	
Authorized Signature				-	Date		·	
Typed or printed name			Registration No.					
This collection of informatic an application. Confidentialisubmitting the completed ap this form and/or suggestions Box 1450, Alexandria, Virgi Alexandria, Virginia 22313-	oplication form to the USPI of the USPI of the strain of the USPI	11. The informatio 122 and 37 CFR I O. Time will vary lould be sent to the SEND FEES OR C	n is required 1.14. This co depending u Chief Infon COMPLETEI	to obtain or retain llection is estimal pon the individua mation Officer, UD FORMS TO THE	n a benefit by ed to take 12 I case. Any co.S. Patent and IIS ADDRES	the public which is to file (and minutes to complete, includin omments on the amount of tir Trademark Office, U.S. Depi S. SEND TO: Commissioner	by the USPTO to process) g gathering, preparing, and ne you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,	

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## United States Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
10/646,105	08/22/2003	Gust H. Bardy	020.0339.US.CON	8423		
759	90 02/09/2005		EXAM	INER		
Law Offices of Pa Suite 258	Law Offices of Patrick J.S. Inoye		EVANISKO, GE	EVANISKO, GEORGE ROBERT		
810 Third Avenue			ART UNIT	PAPER NUMBER		
Seattle, WA 98104			3762			
			DATE MAIL ED: 02/00/200			

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

•	Application No.	Applicant(s)				
	10/646,105	BARDY, GUST				
Notice of Allowability	Examiner	Art Unit				
	George R Evanisko	3762				
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to	olication. If not include will be mailed in due	ed course. THIS			
1. 🔀 This communication is responsive to the amdt filed 11/22/0	<u>04</u> .					
2. The allowed claim(s) is/are 1-81.	·					
3. $\boxtimes$ The drawings filed on <u>8/22/03</u> are accepted by the Examin	er.					
4. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some* c) None of the:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No.  3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.						
5. A SUBSTITUTE OATH OR DECLARATION must be subm. INFORMAL PATENT APPLICATION (PTO-152) which give	nitted. Note the attached EXAMINER es reason(s) why the oath or declara	'S AMENDMENT or Nation is deficient.	NOTICE OF			
<ul> <li>6. CORRECTED DRAWINGS (as "replacement sheets") must (a) including changes required by the Notice of Draftspers 1) hereto or 2) to Paper No./Mail Date</li> <li>(b) including changes required by the attached Examiner' Paper No./Mail Date</li> <li>Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the company of the paper No./Mail DFORMATION about the deposition of the company of the co</li></ul>	son's Patent Drawing Review (PTO s Amendment / Comment or in the C l.84(c)) should be written on the drawing the header according to 37 CFR 1.121(	Office action of ngs in the front (not the d).  nust be submitted.				
Attachment(s) 1. ☐ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material  5. ☐ Notice of Informal Patent Application (PTO-152) 6. ☑ Interview Summary (PTO-413), Paper No./Mail Date 7. ☑ Examiner's Amendment/Comment						

Application/Control Number: 10/646,105

Art Únit: 3762

## **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Patrick Inouye on 1/24/05.

The application has been amended as follows:

In claim 23, line 2, "holding" has been deleted and --having-- has been inserted.

In claim 63, line 2, "holding" has been deleted and --having-- has been inserted.

In claim 81, line 2, "holding" has been deleted and --having-- has been inserted.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to George R Evanisko whose telephone number is 571 272 4945. The examiner can normally be reached on M-F 6:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Angela Sykes can be reached on 571 272 4955. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Application/Control Number: 10/646,105 Page 3

Art Unit: 3762

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

George R Evanisko Primary Examiner Art Unit 3762

GRE January 26, 2005

	Application No.	Applicant(s)				
Interview Summary	10/646,105	BARDY, GUST				
interview Summary	Examiner	Art Unit				
	George R Evanisko	3762				
All participants (applicant, applicant's representative, PTO personnel):						
(1) <u>George R Evanisko</u> .	(3)					
(2) <u>Patrick Inouye</u> . (4)						
Date of Interview: 24 January 2005.						
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2	)∏ applicant's represe	ntative]				
Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.  If Yes, brief description:						
Claim(s) discussed:		•				
Identification of prior art discussed: 23, 63, 81.						
Agreement with respect to the claims f)⊠ was reached. g)□ was not reached. h)□ N/A.						
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: to change "holding" to "having" in the claims to have the claim body refer to the code and tangible medium in the preamble. In addition, the claims are not directed to just claiming a computer program per se but the actual tangible computer medium having code.						
(A fuller description, if necessary, and a copy of the amend allowable, if available, must be attached. Also, where no c allowable is available, a summary thereof must be attached	opy of the amendments	ner agreed would render the claims that would render the claims				
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet						
Examiner Note: You must sign this form unless it is an						
Attachment to a signed Office action.	Examine	r's signature, if required				

## **Summary of Record of Interview Requirements**

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by
  attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does
  not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Organization ILS/UU Bldg./Room \_\_\_\_\_ UNITED STATES PATENT AND TRADEMARK OFFICE

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